

# SPDR<sup>®</sup> Dow Jones<sup>®</sup> Industrial Average ETF Trust

## Key Features

- The SPDR<sup>®</sup> Dow Jones<sup>®</sup> Industrial Average<sup>SM</sup> ETF Trust seeks to provide investment results that, before expenses, correspond generally to the price and yield performance of the Dow Jones Industrial Average<sup>SM</sup> (the “Index”)
- The Dow Jones Industrial Average<sup>SM</sup> (DJIA) is composed of 30 “blue-chip” U.S. stocks
- The DJIA is the oldest continuous barometer of the U.S. stock market, and the most widely quoted indicator of U.S. stock market activity
- The DJIA is a price weighted index of 30 component common stocks

## About This Benchmark

The Dow Jones<sup>®</sup> Industrial Average<sup>SM</sup> (DJIA) is composed of thirty (30) “blue-chip” U.S. stocks. At 100-plus years, it is the oldest continuing U.S. market index. The DJIA has evolved into the most recognizable stock indicator in the world, and the only index composed of companies that have sustained earnings performance over a significant period of time.

## Fund Information

Inception Date	01/14/1998
CUSIP	78467X109

# DIA

Fact Sheet

Equity

As of 12/31/2021

## Total Return (As of 12/31/2021)

	NAV (%)	Market Value (%)	Index (%)
<b>Cumulative</b>			
QTD	7.82	7.86	7.87
YTD	20.73	20.83	20.95
<b>Annualized</b>			
1 Year	20.73	20.83	20.95
3 Year	18.30	18.29	18.49
5 Year	15.34	15.33	15.51
10 Year	14.03	14.03	14.21

Gross Expense Ratio (%)

0.16

30 Day SEC Yield (%)

1.58

**Performance quoted represents past performance, which is no guarantee of future results. Investment return and principal value will fluctuate, so you may have a gain or loss when shares are sold. Current performance may be higher or lower than that quoted. All results are historical and assume the reinvestment of dividends and capital gains. Visit [ssga.com](http://ssga.com) for most recent month-end performance. Performance of an index is not illustrative of any particular investment. It is not possible to invest directly in an index. Index funds are unmanaged and do not reflect the deduction of any fees or expenses. Index returns reflect all items of income, gain and loss and the reinvestment of dividends and other income as applicable.**

## Characteristics

Est. 3-5 Year EPS Growth	29.59%
Index Dividend Yield	1.80%
Price/Earnings Ratio FY1	17.88
Number of Holdings	30
Price/Book Ratio	4.49
Average Market Cap (M)	US\$470,694.20

Top 10 Holdings*	Weight (%)
UnitedHealth Group Incorporated	9.10
Home Depot Inc.	7.52
Goldman Sachs Group Inc.	6.94
Microsoft Corporation	6.10
McDonald's Corporation	4.86
salesforce.com inc.	4.61
Amgen Inc.	4.08
Visa Inc. Class A	3.93
Honeywell International Inc.	3.78
Caterpillar Inc.	3.75

\*Subject to Change.  
Totals may not equal 100 due to rounding.

Top Sectors	Weight (%)
Information Technology	22.37
Health Care	17.68
Financials	15.61
Consumer Discretionary	15.41
Industrials	14.40
Consumer Staples	7.61
Communication Services	3.75
Energy	2.13
Materials	1.03

## ssga.com/etfs

### Information Classification: General

**State Street Global Advisors**  
One Iron Street, Boston MA 02210  
T: +1 866 787 2257

### Glossary

**NAV** The market value of a mutual fund's or ETFs total assets, minus liabilities, divided by the number of shares outstanding.

**Market Value** Determined by the midpoint between the bid/offer prices as of the closing time of the New York Stock Exchange (typically 4:00PM EST) on business days.

**Gross Expense Ratio** The fund's total annual operating expense ratio. It is gross of any fee waivers or expense reimbursements. It can be found in the fund's most recent prospectus.

**30 Day SEC Yield** (Also known as Standardized Yield) An annualized yield that is calculated by dividing the net investment income earned by the fund over the most recent 30-day period by the current maximum offering price.

**Est. 3-5 Year EPS Growth** Based on the underlying holdings of the fund. The actual earnings estimates for the underlying holdings are provided by FactSet, First Call, I/B/E/S Consensus, and Reuters and are used to calculate a mean 3-5 year EPS growth rate estimate.

**Index Dividend Yield** The weighted average of the underlyings' indicated annual dividend

divided by price, expressed as a percentage.

**Price/Earnings Ratio FY1** The weighted harmonic average of current share price divided by the forecasted one year earnings per share for each security in the fund. Negative and positive outliers are included in the calculation.

**Price/Book Ratio** The weighted harmonic average of closing market price divided by the most recent reported book value for each security in the fund's portfolio as calculated for the last twelve months.

### Important Risk Information

Weights are as of the date indicated, are subject to change, and should not be relied upon as current thereafter.

Investing involves risk including the risk of loss of principal.

The information provided does not constitute investment advice and it should not be relied on as such. It should not be considered a solicitation to buy or an offer to sell a security. It does not take into account any investor's particular investment objectives, strategies, tax status or investment horizon. You should consult your tax and financial advisor.

The whole or any part of this work may not be reproduced, copied or transmitted or any of its contents disclosed to third parties without SSGA's express written consent.

This communication is not intended to be an investment recommendation or investment advice and should not be relied upon as such.

**Equity securities** may fluctuate in value in response to the activities of individual companies and general market and economic

conditions.

While the shares of ETFs are tradable on secondary markets, they may not readily trade in all market conditions and may trade at significant discounts in periods of **market stress**.

**ETFs** trade like stocks, are subject to investment risk, fluctuate in market value and may trade at prices above or below the ETFs net asset value. Brokerage commissions and ETF expenses will reduce returns.

### Intellectual Property Information:

Standard & Poor's®, S&P® and SPDR® are registered trademarks of Standard & Poor's Financial Services LLC (S&P); Dow Jones is a registered trademark of Dow Jones Trademark Holdings LLC (Dow Jones); and these trademarks have been licensed for use by S&P Dow Jones Indices LLC (SPDJI) and sublicensed for certain purposes by State Street Corporation. State Street Corporation's financial products are not sponsored, endorsed, sold or promoted by SPDJI, Dow Jones, S&P, their respective affiliates and third party licensors and none of such parties make any representation regarding the advisability of investing in such product(s) nor do they have any liability in relation thereto, including for any errors, omissions, or interruptions of any index.

"Dow Jones Industrial Average<sup>SM</sup>", "DJIA<sup>TM</sup>", "Dow Jones<sup>SM</sup>", "The Dow<sup>TM</sup>" and "DIAMONDS<sup>SM</sup>" are trademarks and service marks of Standard & Poor's Financial Services LLC and have been licensed for use by S&P Dow Jones Indices LLC ("S&P") and sublicensed for use by State Street Global Advisors Funds Distributors, LLC. The Trust, PDR Services LLC and NYSE Arca, Inc. are permitted to use these trademarks

and service marks pursuant to separate "Sublicenses." The Trust is not sponsored, endorsed, sold or promoted by S&P, its affiliates or its third party licensors.

**Distributor** State Street Global Advisors Funds Distributors, LLC, member FINRA, SIPC, an indirect wholly owned subsidiary of State Street Corporation. References to State Street may include State Street Corporation and its affiliates. Certain State Street affiliates provide services and receive fees from the SPDR ETFs. ALPS Distributors, Inc., member FINRA, is the distributor for DIA, MDY and SPY, all unit investment trusts. ALPS Portfolio Solutions Distributor, Inc., member FINRA, is the distributor for Select Sector SPDRs. ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc. are not affiliated with State Street Global Advisors Funds Distributors, LLC.

**Before investing, consider the funds' investment objectives, risks, charges and expenses. To obtain a prospectus or summary prospectus which contains this and other information, call 1-866-787-2257 or visit ssga.com. Read it carefully. Not FDIC Insured  
No Bank Guarantee  
May Lose Value**

© 2022 State Street Corporation.  
All Rights Reserved.  
Control # DIA000470  
Tracking Number: 3392227.3.1.AM.RTL  
Expiration Date: 04/30/2022  
ETF-DIA 20220110/13:50